



Practitioner's Docket No. 2003DE416

PATENT

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Matthias Loeffler et al.

Examiner: n/a

Application No.: 10/817,364

Group No.: 1764

Filed: 04/02/2004

For: PROCESS FOR THE PREPARATION OF STABLE POLYMER
CONCENTRATES

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT or EP Search Report .EP04007372 mailed 07/05/2004
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2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☒ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:
10/817,399, filed 04/02/2004, Matthias Loeffler et al.
10/817,371, filed 04/02/2004, Matthias Loeffler et al.
10/672,976, filed 09/26/2003, Loeffler et al., US 2004/00063886 A1

Respectfully submitted,


Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	10/817,364
Filing Date	04/02/2004
First Named Inventor	Loeffler et al.
Art Unit	1764
Examiner Name	n/a
Attorney Docket Number	2003DE416

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 5,104,645	04/14/1992	Cardin et al.	
	AB	US- 6,437,068	08/20/2002	Loeffler et al.	
	AC	US- 6,355,752	03/12/2002	Brungs et al.	
	AD	US- 6,506,833	01/14/2003	Loeffler et al.	
	AE	US- 6,683,144	01/27/2004	Loeffler et al.	
	AF	US- 20040109838	06/10/2004	Loeffler et al.	
	AG	US- 20040109836	06/10/2004	Loeffler et al.	
	AH	US- 20040063886	04/01/2004	Loeffler et al.	
	AI	US-			
	AJ	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BA	EP 1116733	07/18/2001	Loeffler et al.	(See AB Above)	<input type="checkbox"/>
	BB	EP1028129	08/16/2000	Brungs et al.	(See AC Above)	<input type="checkbox"/>
	BC	EP816403	01/07/1998	Engelhardt et al.	(See BF on pg 2)	<input type="checkbox"/>
	BD	WO0244231	06/06/2002	Loeffler et al.	(See AF Above)	<input type="checkbox"/>
	BE	DE19625810	01/02/1998	Engelhardt et al.	(See BF on pg 2)	<input type="checkbox"/>

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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		Number-Kind Code ² (if known)			
	AA	US-			
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BF	CA 2209060 A1	12/28/1997	Engelhardt et al.		<input type="checkbox"/>
	BG					<input type="checkbox"/>
	BH					<input type="checkbox"/>
	BI					<input type="checkbox"/>
	BJ					<input type="checkbox"/>

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